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PATENT

In re Application of: Apparao et al.

Docket No.: AOL0124

Serial No. :10/631,343

Art Unit: 2173

Filed:

July 30, 2003

Examiner: Unknown

Title: A Method and System for Managing Digital Assets

February 8, 2005

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97(e)

Assistant Commissioner of Patents and Trademarks P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

A certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

- I, the person signing below, certify
- that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))
- that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart

foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- () supplied by the inventor(s)
- () supplied by an individual designated in §1.56(c)
- (X) in the attorney's file

Respectfully submitted,

Michael A. Glenn Reg. No. 30,176

Customer No. 22862



Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMEDIA

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Attached to this coversheet please find the following documents:

- Certificate of Express Mail (1 page)
- Information Disclosure Statement (2 pages);
- Certification under 37 CFR 1.97(e) (2 pages);
- Form 1449 (1 page);
- Return postcard



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
 (within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
 (x) under 37 CFR 1.97(c) together with either a:
 (X) Certification under 37 CFR 1.97(e), or
 - (X) Certification under 37 CFR 1.97(e), or
 () a \$220.00 fee under 37 CFR 1.17(p), or
 (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
 - () Certification under 37 CFR 1.97(e), and
 - () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
 (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0124). A copy of this sheet is enclosed for accounting purposes.

- (X) Applicant(s) submit herewith F orm P TO 1449 Information D isclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.
- () A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

Michael Glenn Attorney For Applicant Reg. No. 30,176

Customer No. 22862



PTO/SB/08A (02-03)
Approved for use through 04/30/2003. OMB 0651-0031
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Substitute for form 1449/PTO	Coi	Complete if Known		
	Application Number	10/631,343		
INFORMATION DISCLOSURE	Filing Date	July 30, 2003		
	 First Named Inventor 	Apparao et al.		
STATEMENT BY APPLICAN	Art Unit	2173		
(Use as many sheets as necessary)	Examiner Name	Unknown		
heat 11 of 11	Attorney Docket Number	AOI 0124		

Examiner Cite Initials* No.1	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	Number-Kind Code ^{2 (# known)}	10	7,ppiloziii di diled Bodaiiidii.	Figures Appear	
	Α	^{US-} 5,748,512	05/05/1998	Vargas	
	В	^{US-} 6,400,381	06/04/2002	Barrett et al.	
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FOREIGN PATENT DOCUMENTS					
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.